

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or  
☒ Application No. 09/929,526 filed on August 13, 2001  
☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME OF INVENTOR(S)**

Inventor 1 Sukendeep Samra Date: 10/31/2001

Signature: *S Samra* Citizen of: United Kingdom

Inventor 2 Mark A. van den Bergen Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: Australia

Inventor 3 Steven Hall Date: 10/31/01

Signature: *Steven J. Hall* Citizen of: United States

Inventor 4 Jason Peterson Date: 10/31/01

Signature: *Jason Peterson* Citizen of: United States

☒ Additional inventors are being named on form(s) attached hereto.

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**FULL NAME OF INVENTOR(S)**

Inventor 5 Stephen Dyson Date: 10-31-01

Signature:  Citizen of: United States

Inventor 6 \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: \_\_\_\_\_

Inventor 7 \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: \_\_\_\_\_

Inventor 8 \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: \_\_\_\_\_

Attorney Docket No.: 020699-004600US  
Client Ref. No.: 50R4671

PTO/SE/D1A (10-00)

Approved for use through 10/31/2002. CMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FULL NAME OF INVENTOR(S)**

Inventor 1 Sukendeep Samra Date: 10/31/2001  
Signature: *SSm* Citizen of: United Kingdom

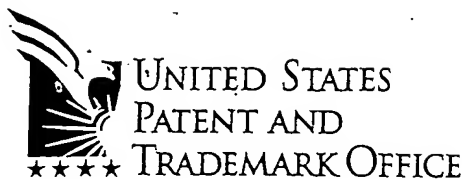
Inventor 2 Mark A. van den Bergen Date: 03/15/2002  
Signature: *MAvB* Citizen of: Australia

Inventor 3 Steven Hall Date: 10/31/01  
Signature: *Steven J. Hall* Citizen of: United States

Inventor 4 Jason Peterson Date: 10/31/01  
Signature: *Jason Peterson* Citizen of: United States

X Additional inventors are being named on form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



020699-00460 US QJK

JUNE 19, 2002

PTAS  
TOWNSEND AND TOWNSEND AND CREW LLP  
CHARLES J. KULAS  
TWO EMBARCADERO CENTER  
8TH FLOOR  
SAN FRANCISCO, CA 94111-3834

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov



\*102065786A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/12/2002

REEL/FRAME: 012807/0814  
NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SAMRA, SUKENDEEP

DOC DATE: 10/31/2001

ASSIGNOR:

BERGEN, MARK A. VAN DEN

DOC DATE: 03/15/2002

ASSIGNOR:

HALL, STEVEN

DOC DATE: 10/31/2001

ASSIGNOR:

PETERSON, JASON

DOC DATE: 10/31/2001

ASSIGNOR:

DYSON, STEPHEN

DOC DATE: 10/31/2001

ASSIGNEE:

SONY CORPORATION  
7-35 KITASHINAGAWA 6-CHOME  
SHINAGAWA-KU  
TOKYO, JAPAN

012807/0814 PAGE 2

ASSIGNEE:

SONY ELECTRONICS, INC.  
1 SONY DRIVE  
PARK RIDGE, NEW JERSEY 07656

SERIAL NUMBER: 09929526

PATENT NUMBER:

FILING DATE: 08/13/2001

ISSUE DATE:

SHARON BROOKS, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

04-24-2002

Attorney Docket No. 020699-004600US

Form PTO-1595

(Rev. 03-01)

OMB No. 0651-0027 (exp. 5/31/2002)



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reet

U.S. Department of Commerce  
U.S. Patent and Trademark Office

Tab settings ⇨ ⇨ ⇨ ▼

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Sukendee Samra

4-12-02

Additional name(s) of conveying parties attached? ☒ Yes ☐ No.

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution Date: October 31, 2001 and March 15, 2002

## 2. Name and address of receiving party(ies)

Name: Sony CorporationStreet Address: 7-35 Kitashinagawa 6-Chome, Shinagawa-KuCity: Tokyo Country: Japan ZIP: \_\_\_\_\_Additional names and addresses attached? ☒ Yes ☐ No

## 4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s): 09/929,526

B. Patent No(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles J. Kulas  
 TOWNSEND AND TOWNSEND AND CREW LLP  
 Two Embarcadero Center, 8<sup>th</sup> Floor  
 San Francisco, California 94111-3834  
 (415) 576-0200

## 6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \_\_\_\_\_ \$40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Charles J. Kulas

Name of Person Signing  
 Atty. Reg. No. 35,809

4-2-02

Date

Total number of pages including cover sheet, attachments and document 8

Mail documents to be recorded with required cover to:  
 Commissioner of Patents and Trademarks, Box Assignments  
 Washington, D.C. 20231

04/23/2002 DBYRHE 00000163 201430 09929526

01 FC:581

40.00 CH

1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

Mark A. van den Bergen  
Steven Hall  
Jason Peterson  
Stephen Dyson

2. Additional name(s) and address(es) of receiving party(ies):  
(Continued from Page 1)

Sony Electronics, Inc.  
1 Sony Drive  
Park Ridge, New Jersey 07656

3. Additional application number(s) or patent number(s):  
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Sukendeep Samra of 2500 Abbott Kinney Blvd., Apt. 1, Venice, CA 90292; Mark A. van den Bergen of 28 Roma Street Scoresby 3179, Victoria, Australia; Steven Hall of 22378 Sweet Jasmine Lane, Woodland Hills, CA 91367; Jason Peterson of 12048 1/2 Guerin Street, Studio City, CA 91604 and Stephen Dyson of 13603 Marina Ponte Drive, #D613, Marina del Rey, CA 90202; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: COLLABORATIVE COMPUTER-BASED PRODUCTION  
SYSTEM INCLUDING ANNOTATION, VERSIONING  
AND REMOTE INTERACTION

~~Date(s) of execution of Declaration: October 31, 2001 and March 15, 2002~~

Filing Date: August 13, 2001

Application No.: 09/929,526; and

WHEREAS, Sony Corporation, a corporation of Japan, located at 7-35 Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo, Japan and Sony Electronics Inc., a corporation of the U.S., located at 1 Sony Drive, Park Ridge, NJ 07656, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.



IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 10/31/2001

S8Sm.  
Sukendeep Samra

STATE OF CALIFORNIA       )  
                                      )  
COUNTY OF                   )       ss.

On \_\_\_\_\_, before me, \_\_\_\_\_,  
personally appeared Sukendeep Samra, personally known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the  
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

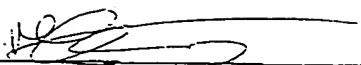
\_\_\_\_\_  
NOTARY PUBLIC

My Commission Expires: \_\_\_\_\_

Assignment

Attorney Docket No.: 020699-004600US

Page 3

Dated: 03/15/2002  
Mark A. van den Bergen

STATE OF CALIFORNIA )

COUNTY OF )

ss.

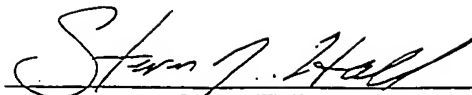
On \_\_\_\_\_, before me, \_\_\_\_\_,  
personally appeared Mark A. van den Bergen, personally known to me (or proved to me on the  
basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and  
acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on  
the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
NOTARY PUBLIC

My Commission Expires: \_\_\_\_\_

Dated: 10/31/01

  
Steven Hall

STATE OF CALIFORNIA       )  
                                      )  
COUNTY OF                   )       ss.

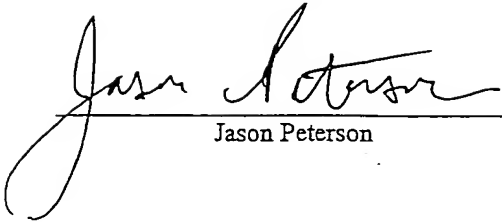
On \_\_\_\_\_, before me, \_\_\_\_\_,  
personally appeared Steven Hall, personally known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the  
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
NOTARY PUBLIC

My Commission Expires: \_\_\_\_\_

Dated: 10/31/01

  
\_\_\_\_\_  
Jason Peterson

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                 )

ss.

On \_\_\_\_\_, before me, \_\_\_\_\_,  
personally appeared Jason Peterson, personally known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the  
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
NOTARY PUBLIC

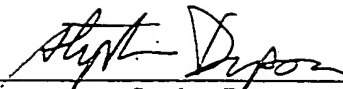
My Commission Expires: \_\_\_\_\_

Assignment

Attorney Docket No.: 020699-004600US

Page 6

Dated: 10-31-01

  
\_\_\_\_\_  
Stephen Dyson

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                    )

ss.

On \_\_\_\_\_, before me, \_\_\_\_\_,  
personally appeared Stephen Dyson, personally known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the  
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
NOTARY PUBLIC

My Commission Expires: \_\_\_\_\_